History of Electronic Sound Modification*

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0 INTRODUCTION

The history of electronic sound modification is as old as the history of electronic musical instruments and electronic sound transmission, recording, and reproduction.

Means for modifying electrically generated sound have been known since the late 19th century, when Thaddeus Cahill created his Telharmonium.

With the advent of the electronic age, spurred first by the invention of the electron tube, and the more recent development of solid-state devices, an astounding variety of sound modifiers have been created for filtering, distorting, equalizing, amplitude and frequency modulating, Doppler effect and ring modulating, compressing, reverberating, repeating, flanging, phasing, pitch changing, chorusing, frequency shifting, analyzing, and resynthesizing natural and artificial sound.

In this paper some highlights of historical development are reviewed, covering the time from 1896 to the present.

1 THE ELECTROMECHANICAL ERA

To give a more complete account on this history, it is important to include the time span that preceded the purely electronic era, and also to include some history of electronic (and electrical) instruments whose sound modification devices formed an integral part of the entire system.

A classic case is the Telharmonium, by Thaddeus Cahill (Fig. 1), which was built around 1896 [1]–[10]. This instrument used the principle of additive tone synthesis for sound manipulation and modification. The individual tone colors were built up from fundamentals and overtones, generated by huge dynamos. For the purpose of generating pure sine waves for the synthesis, the individual generator coils were tuned with capacitors, another means of sound modification.

2 THE ELECTRONIC ERA

After the Telharmonium, and especially after the invention of the vacuum tube, scores of electronic (and electronic mechanical) musical instruments were invented with sound modification features. The Hammond organ is of special interest, since it evolved from Cahill's work. Many notable inventions in electronic sound modification are associated with this instrument, which will be discussed later.

Other instruments of the early 1930s included the Trautonium by the German F. Trautwein, which was built in several versions. The Trautonium used resonance filters to emphasize selective overtone regions, called formants [11]–[14]. In contrast, the German Jörg Mager built an organlike instrument for which he used loudspeakers with all types of driver systems and shapes to obtain different sounds.

In 1937 the author created the Warbo Formant organ, which had circuitry for envelope shaping as well as more complex filters than those used before. It had two sets of filters and a four-voice assignment keyboard [15] through which, for instance, voices 1 and 3 could be assigned to the first filter and voices 2 and 4 to the second filter (Fig. 2). By making the pass regions of the filters mutually exclusive, complementary tone colors could be produced, which sounded very pleasing to the ear [16], [17].

In the late 1930s the Hammond Novachord was created, which also had formant filters for overtone modification and envelope shaping to produce tones like those of wind and string instruments [18], [19].

An interesting means of sound modification is found in the Electrochord by Oscar Vierling [16] and in the Miessner piano [20]–[23]. It was found that tonal qualities could be dramatically modified by changing the location of pickups (in this case capacitive) along the strings. For instance, when picking up the oscillations at the midpoint, all even harmonics would disappear, leaving only the odd harmonics, thus producing a hollow, clarinetlike sound. Placing the pickup at one third of the string's length, the third, sixth, and so forth harmonics would be canceled, and pickup points at

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other locations of the string length would produce yet different harmonic structures.

The Electrochord as well as the Miessner piano were built without sounding boards, thus eliminating their damping effect and producing tones with longer decay times. The same was true for the Les Paul guitar, and it might be interesting to learn that Paul invented the solid-body guitar as far back as 1927 [24]. In his early experiments Paul used the magnets of the old-style headphones, which were equipped with steel diaphragms as membranes. The Gibson guitar evolved in 1941, and it has since been associated with an incredible number and variety of sound modification devices and methods, some of which will be discussed in more detail.

From the beginning one important means of sound modification has been the tremolo and the vibrato, the tremolo being an amplitude modulation [25] and the vibrato a frequency modulation. It is interesting to note that post-source frequency modulation initially posed a problem. For this reason the first Hammond organs were equipped with means for amplitude modulation or tremolo.

In the mid-1940s a delay line with variable inductors was invented by Hanert, and after this a different type of variable delay line with a number of delay taps and a capacitive scanner was incorporated in the Hammond organ (Figs. 3 and 4) [26], [27]. By combining direct

and the frequency-modulated signals, a type of choral effect was produced [28]. This laid the foundation for today's phasers. In the mid 1950s W. C. Wayne, Jr., proposed and built a purely electronic choral tone modulator for the Baldwin organ [29]. A different approach for choral tone modulation was taken by D. L. Bonham in the early 1960s [30], after he had created successfully a purely electronic vibrato modulator in 1958 [31].

A unique contribution in sound modification devices was made by Homer Dudley through his creation of the voder and the vocoder in the late 1930s.

The voder [32] was a keyboard-operated instrument controlling a number of bandpass channels for simulating the resonances of the human voice. With the addition of a tone source called the buzz source and a noise source called the hiss source, vowels and consonants of a speaker were imitated.

The system became even more exciting when the voder, being an encoder, was combined with a decoder. This combination was called the vocoder, which comprised an analyzer for analyzing the speech and a synthesizer for remaking the same speech [33], [34].

For accomplishing this, the audio range was sliced up into a number of bandpass channels in the analyzer, which correspond to an equal number of bandpass channels in the synthesizer. In each analyzer channel



THE KEYBOARD OF THE INSTRUMENT FOR PRODUCING AUGID BY BLECTRICITY

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Fig. 1. Playing console of Cahill Telharmonium (courtesy Tom Rhea).

a control voltage is generated with what we now call an envelope follower. This control voltage was then fed to the control voltage input of a voltage-controlled amplifier in the corresponding synthesizer channel.

By listening to the recordings of the Dudley vocoder one notices that not only the speech articulation is being remade but also the speech inflection, which clearly indicates the presence of a pitch extractor—that works—as well as a pitch-to-voltage converter and a voltage-controlled oscillator. In the closing section of this article a few more aspects, modifications, and applications of the vocoder will be discussed.

Thus far it has been observed that one important element in sound modification devices is represented by a variety of filters, such as the formant filters of the Trautonium and the Hammond Novachord, the complementary tone filters of the Warbo Formant organ, and the bandpass filters of the vocoder. Another instrument with strong formant filters was the Bode Melochord (Fig. 5) (preceded by the Melodium) [35], which was built for several major broadcast stations in West Germany by the late 1940s and the early 1950s. The Melochord was also equipped with circuitry for the control of attack and decay envelopes, vibrato, and the capability to play traveling formants, the frequency of which was keyboard controlled. In the Melochord for the Stockhausen Studio in Cologne the modular concept was adopted, by which external ring modulators, echo chambers, and the like could be included in the system

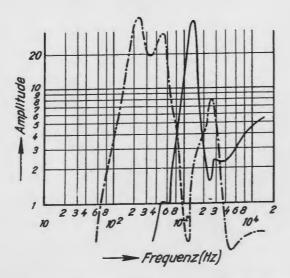


Fig. 2. Complementary filter responses of Warbo Formant organ.

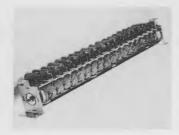


Fig. 3. Delay line for Hammond organ vibrato unit.

[36]-[38].

A unique instrument combining many of the means of tone generation and sound modification known at that time was the RCA synthesizer (Fig. 6), which was created under Harry F. Olson. It made its debut in 1955 [39]–[41]. The RCA synthesizer is controlled by preprogrammed punched tape. It has such features as digitally controlled filters, control of attack and decay envelopes, digitally controlled pitch and waveshapes, random noise generation, and frequency and amplitude modulation.

Around the same time Les Paul became famous with his multitrack guitar recordings, using tape speed transposition and the repetition effect. The well-known piece "Whispering" was done in the early 1950s. Besides being an outstanding performer, Paul is an outstanding innovator. He introduced the multitrack recorder [eight tracks on 1-inch (25-mm) tape] in cooperation with Ampex. He also created Sel Sync. His repetition effect was done with a five-head recorder. His tape speeds were initially 60 and 30 in/s (1.52 and 0.76 m/s) and later reduced to 30 and 15 in/s (0.76 and 0.38 m/s). It was Paul who introduced the RIAA curve.

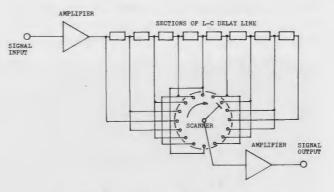


Fig. 4. Basic diagram of Hammond vibrato unit.



Fig. 5. The author tuning his first Melochord (1947).

Prior to the tape era, Paul created the repetition effect on 16-in (406-mm) disks with five playback pickups in the same groove with the cutting head. This was in 1941.

Les Paul, of course, stimulated many innovators, and due to his success encouraged them to work in the field of new sound effects. His influence in many areas is felt to this day. The author was so impressed by his work that he later developed a sound modification system consisting of a number of electronic modules, assigned to two separate outputs through a multiple-head tape loop device [42] (Fig. 7). These modules, which will be dealt with in more detail, also included a ring modulator.

The ring modulator was at the time a relatively little-known sound modification device, mainly used in single-sideband communication systems. The main reason was that up to the mid- or late 1950s it was known as a switching circuit, which would have sounded too harsh to be usable for sound modification. It was only after ring modulators were built with diodes, which operate in the square law region of their transfer function (as was the case with certain germanium diodes), that

they started to perform as four-quadrant multipliers and became musically interesting (Fig. 8) [43], [44].

The author's modular sound modification system was built in late 1959 through 1960. In it the multiplier-type ring modulator and other sound modifying devices were used, such as an envelope follower, a tone-burst-responsive envelope generator, a voltage-controlled amplifier, formant and other filters, mixers, a pitch extractor, a comparator and frequency divider for the extracted pitch, and a tape loop repeater with dual channel processing [45], [46].

The modular concept proved attractive due to its versatility, and it was adopted by Robert Moog when he created his modular synthesizer in 1964. This synthesizer included a variety of sound modification devices and system modules, such as voltage-controlled filters [47], envelope generators, voltage-controlled amplifiers, and sample/hold circuits. At a later date Bode ring modulators and Bode frequency shifters (which will be described in more detail) were also added to the Moog line.

By the late 1960s sound effect devices such as the wahwah appeared in the popular entertainment field.

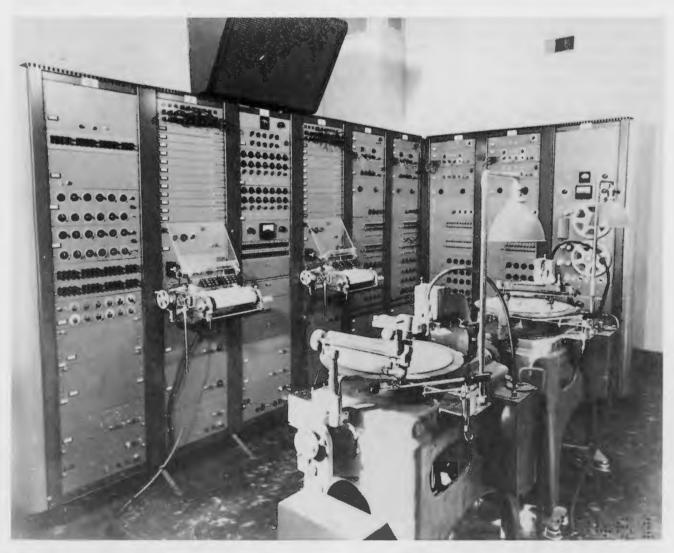


Fig. 6. RCA synthesizer (courtesy Tom Rhea).

The first successful wahwah was reported to have been the "Cry Baby," which originated in England around 1965. It worked with germanium transistors. A quite effective wahwah was, of course, the Moog voltage-controlled low-pass filter capable of very pronounced resonances, which could be actuated by a voltage control pedal. It is understood that the Mutron, an envelope-activated voltage-controlled filter, was widely used during the 70s. [48].

Another popular sound effect device, which started in the mid 1960s, is in the category of the so-called fuzz boxes. The story goes that the fuzz started with Jeff Beck making a guitar recording by overdriving a deficient preamplifier of his tape recorder.

The first successful fuzz boxes include the VOX distortion booster, which plugs into a guitar and which originated in England in 1964. At around the same time the Arbiter fuzz face appeared on the scene [49]. The use of the fuzz effect is quite popular with guitars, and it can be enhanced by extending the sustain time with compression circuits [50].

There are, of course, quite a number of other wahwahs and fuzz boxes on the market, all of which cannot be mentioned within the scope of this publication.

Further electronic sound modification devices that have been successful to this date are flangers and phasers, and it is of interest to trace the history of their discovery and design [51], [52].

The flanging effect can be commonly observed outdoors, when a jet flies overhead, and the direct sound is summed in the ear of the observer with the sound reflected from the ground, resulting in the cancellation of certain frequencies and producing the comb filter effect, commonly referred to as "jet sound."

In the history of recording the story goes that with the intent to achieve double tracking with two recorders

Fig. 7. Modular sound modifier by the author (1960). Photo reprinted from Electronics, 1961, Dec. 1. Copyright © 1961, McGraw-Hill Inc. All rights reserved.

with slightly different speeds on the piece "The Big Hurt," the flanging effect was obtained by accident.

Paul created the flanging effect in "Mamie's Boogie" with two disk recorders, one of which had variable speed control (1945). At a later time, with the availability of tape recorders, flanging was done with two tape recorders, one of which had variable speed control (Fig. 9). A famous recording made this way was "Itchycoo Park" by Small Faces in the late 1960s [24].

After the theory of flanging had been established for some time and the industry was waiting for usable integrated circuits to make delay lines of sufficient quality and delay time [53], Richard Factor of Eventide demonstrated electronic flanging with a practically "continuously" variable digital delay line at the Spring Convention of the Audio Engineering Society in 1973 [54]. Prior to that, Eventide had been experimenting with bucket brigade devices for several years [55]—[57].

The Eventide instant flanger was introduced in the spring of 1975. It was later followed by the pitch change module for the 1745M digital delay line and then by the model H910 harmonizer, which was the first usable transposing device.

In contrast to the work of Factor of Eventide, Steven St. Croix of Marshall Electronic concentrated on the flexibilities of a modulated delay line, which he called the time modulator and for which new analog delay integrated circuits were developed. With this time modulator a large variety of effects were introduced, such as automatic double tracking, automatic triple tracking, negative flange, positive flange, resonant flange, "negative killer flange," positive killer flange," vibrato, arpeggio, pitch quantiziing, two-drum slaps, reverb with detune, and others. The Marshall time modulator was introduced to the trade in 1976.

Generally speaking, in flangers a comb filter effect

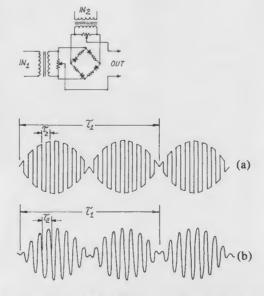


Fig. 8. Switching and multiplier-type ring modulators and output wave shapes. (a) With chopper-type operation (silicon diodes). (b) With multiplier-type operation (germanium diodes).

is produced where the spacing of the peaks and notches equals the reciprocal of the delay time. In contrast, in phasers, depending upon the design parameters used for the phase filter, the spacing of the peaks and notches can be made to cover equal musical intervals [58]. Allpass phase filters have been known for analog computers roughly since the 1940s. So basically the technology would have been available to build phasers at that time. According to one report the first user of phasers was Dodie Fields in the mid 1950s. The Countryman phase shifter became known in the early 1970s. One of the successful phasers of the early times was the Maestro phaser, designed by Tom Oberheim.

On the Moog 12-stage phaser the number of phasing stages as well as the number of stages included in the feedback loop could be selected. The Bode Barberpole phaser (introduced in 1981) is capable of unidirectional (infinite) movement of the comb filter peaks and notches.

A very important ingredient in sound modification is the addition of reverberation to program material. In the old days, that is, in the 1930s, one used echo chambers for this purpose, and with very good results. But they wre expensive and space consuming. Then in 1941 came the invention of the Hammond spring reverberation device, which was incorporated in his organ tone cabinet [59]. It also worked well in studios, and its offspring are still popular. A different approach for producing reverberation effects was taken by D. W. Martin of the Baldwin Piano Company, who used coil springs, which were mechanically coupled with the loudspeaker cone [60].

Another way to produce reverberation effects successfully is, of course, with tape repeaters. After the advent of useful delay lines artificial reverberation became feasible with tapped delay lines. Schroeder of Bell Laboratories proposed a system for natural reverberation, incorporating a number of delay lines and feedback configurations (Fig. 10) [61], [62]. One of the most successful reverberation devices still in use today is the EMT plate reverberation device.

As was mentioned before, post-source frequency modulation is very important to enhance certain program material. The most famous device to produce Doppler effect vibrato by rotating loudspeakers was and is the Leslie tone cabinet. A purely electronic system for simulating moving sound sources was described by J. M. Chowning in 1971 [63], who proposed the use of all of the required parameters, including amplitude modulation, frequency modulation, and reverberation

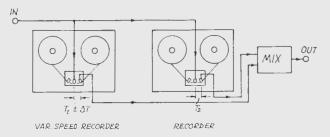


Fig. 9. Flanging setup with two tape recorders.

in four independent channels to achieve this and other moving sound effects. Prior to this a system for "monaural-binaural transmission of sound for producing a Haas effect" had been proposed by D. W. Martin in 1959 [64].

Different and still relatively little-known sound modification devices are frequency shifters, which are capable of producing quite startling effects. The first frequency shifters were introduced in the 1950s by Heck and Buerck in West Germany [65]. They operated on the principle of heterodyning the program material, for instance through a 20-kHz carrier into a higher frequency range passing one of the sidebands produced through a single-sideband filter (for instance, passing 20 kHz to 30 kHz) and reheterodyning these frequencies back into the audio range with a carrier that deviated from the first (20-kHz) carrier by the amount of frequency shift ultimately desired [66].

A different variety of frequency shifters now successfully in use operates on the phase shifter and multiplier principle [67], [68]. A dual phase shifter modifies the program signal to produce output signals, which are in 90-degree phase relationship relative to each other over a range from 20 Hz to 20 kHz. These feed into the first inputs of two multipliers. A quadrature oscillator produces sine/cosine-related shift frequencies, which are fed to the second inputs of the two multipliers. The multiplier output signals are typically those of ring modulators. Due to their phase relationships one of the sidebands is suppressed when they are summed in the output circuit, and the other is doubled in amplitude, thus producing a frequency-shifted signal.

Frequency shifters can be used for a variety of interesting effects, including the spiraling echo effect, which is obtained by placing this sound modifier in the feedback loop of a delay circuit (or tape delay). In cooperation with Robert Moog a special model was created in 1973 [69]–[70], which has an exponential control voltage to amount-of-shift interface, so it has a keyboard tracking capability. This means that unusual timbres set up with the frequency shifter can be maintained over the entire keyboard range.

In the 1973 model a beat frequency quadrature oscillator was used, which was later replaced by a wide-

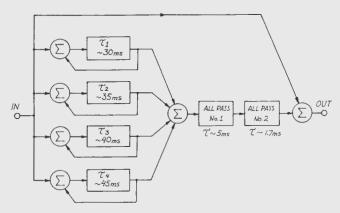


Fig. 10. System for natural sounding reverberation (after Schoeder [61]).

range quadrature oscillator (0.02 Hz to 5000 Hz) to improve the frequency stability (Fig. 11) [71].

A different category of sound modifiers is that of detuning devices. The harmonizer by Eventide already has been mentioned. Other products of this kind are manufactured by Lexicon and MXR to name just a few. The basic principle of these transposers was first demonstrated in the late 1940s on the Springer apparatus, a machine with multiple rotating tape heads, which is attached to a standard tape recorder.

Another sound modifier of the electromechanical category is the Phonogen, which has a circular arrangement of 12 capstans to change the tape speed within the 12 steps of the tempered scale.

The pitch-transposing idea first executed by Springer has stayed the same in today's transposing devices, and the basic concept is that of slicing up the program material into a series of splices of sufficiently short duration and to compress or expand these slices before recombining them (Fig. 12).

In contrast to using the slicing method for pitch transposition, where smooth transition is a main objective, it can also be applied for the opposite purpose, namely, to change the sound with each new slice. For achieving this effect, the sample/hold method is applied by assigning different sound parameters (for instance, different filter frequencies) to each segment.

As mentioned before, filtering represents a very basic method of sound modification. A unique kind of filter worth mentioning is the string filter by Moog, comprising four groups of nine very selective filters which can be activated in different configurations for strongly acceptuated tone colorings, including those of strings. When all filters are activated, a total of 36 resonators cover the performance range.

Finally, in closing, a few more details relating to vocoders will be discussed.

Long before Dudley's vocoder became known, there was an electromechanical driver unit, known as Sonovox, which imparted vibrations in the range of voice frequencies to the vocal cavity system when held against the area of the larynx, thus substituting for the vocal cords and making "semisynthesized" speech possible. This in a sense was the forerunner of the artificial larynx still in use today. Another interesting device, which preceded today's vocoders, was called "the bag," with the name of Bob Heil associated with it [72]. It could be nicknamed "the poor man's vocoder." It consisted of a loudspeaker driver system with a vinyl tube from this driver feeding into the mouth of the performer, who then spoke vowels with the frequencies supplied by the driver into a microphone. Although very effective on vowels, the use of this device was quite limited for



Fig. 11. Bode frequency shifter model 735 Mark II.

intelligible speech.

After Dudley, Robert Moog was the first designer of a vocoder using semiconductor technology and active filters. It was built for the electronic music studio of the University of Buffalo in 1968. After that other vocoders followed. Those by Sennheiser and EMS [73], [74] became known in the late 1970s. In 1977 the author developed a vocoder that deviated from the classical communication-type concept employed by Dudley and others and was aimed toward the use of direct performance (and entertainment) applications (Fig. 13). This model features a direct bypass for the consonant frequencies since it was evident that only the vowel frequencies had to be encoded and decoded and not the consonants, which did not have to change anyway. This system, which was patented [75], resulted in an instrument of superior intelligibility, presence, and fast response (Fig. 14). After these vocoders a number of other products in this category appeared on the scene, such as the Korg, the Electroharmonix, the Syntovox, and the Roland, all of which use the conventional approach.

3 CLOSING REMARKS

It was the purpose of this presentation to highlight those developments in the field of electronic sound modification that were deemed to be most basic and significant. It will have been observed that some of the principles used today can be traced back to the very early days of experimentation, and that many of them have survived all phases of the technological evolution.

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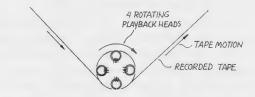


Fig. 12. Basic playback configuration of Springer device.



Fig. 13. Bode vocoder model 7702 with 16 analyzer output and 16 synthesizer input jacks for cross patching.

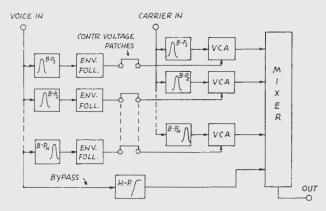


Fig. 14. Vocoder with consonant bypass.

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THE AUTHOR



Harald Bode, a graduate of the University of Hamburg, Germany, and a postgraduate of the Technical University of Berlin (Heinrich Hertz Institute), became active in electronic music instrument design in the mid-30s. His creations include the Warbo Formant organ (1937), the Melodium (1938), the Melochord (1947–1953), most widely known through the work of Stockhausen at Cologne, West Germany. Further instruments include the Polychord (1949), the Bode organ (1951—later known as the Estey electronic organ), the concert

model of the Clavioline (1953), and a modular synthesizer/sound processor (1959–1960). The Bode ring modulators and frequency shifters (1961) are found in many American and foreign studios. The Bode Vocoder, deviating from conventional concepts, was created in 1977.

Bode holds more than 50 US and foreign patents. He served as AES session chairman for music and electronics in 1962 and 1964. He is the head of the Bode Sound Company of North Tonawanda, New York.